



AN ANALYSIS OF FV PARAMETERS IMPACT TOWARDS ITS HARDWARE ACCELERATION

Joël Cathébras, Alexandre Carbon, Renaud Sirdey, Nicolas Ventroux
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- **Homomorphic encryption:**
Handling private data on untrusted environment
- **Decryption function is an homomorphism:**

$$c_1, c_2 \text{ two ciphertexts such that } c_1 = \text{Enc}(m_1) \text{ and } c_2 = \text{Enc}(m_2) \Rightarrow \left\{ \begin{array}{l} \text{Dec}(c_1) \circ \text{Dec}(c_2) = \text{Dec}(c_1 \odot c_2) \\ m_1 \circ m_2 \Leftrightarrow c_1 \odot c_2 \end{array} \right.$$

- **User requirements:**
 - Security level
 - Application complexity (multiplicative depth)
- **Main issues:**
 - Noise management
 - Data size overhead
 - Primitive performances


Impact

STATE OF THE ART (1)

HOMOMORPHIC SCHEMES

**First
generation**

- **2009: Gentry bootstrapping**
Fully Homomorphic Encryption scheme

Impractical

**Second
generation**

- **2011: BGV scheme**
Modulus-switching noise management
- **2012: FV scheme**
Scale invariant noise management

**Small
applications
already!**

**Third
generation**

- **2013: GSW scheme**
Simplified FHE construction
- **2016: Chillotti et al.**
Bootstrapping in less than 0.1s

Promising

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Practically removal of the FHE encryption bottleneck
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Practically removal of the FHE encryption bottleneck
2015: Stream-cipher based transciphering

Hardware optimizations could leverage practicability of homomorphic encryption

- **Implementation level parameters:**
 - Cyclotomic polynomial degree N
 - Ciphertext modulus size $T_q = \log_2 q$

STATE OF THE ART (2)

PARAMETERS

- **Parameters derivation for FV like scheme:**

- Lattice problem dimensioning
- Security requirement λ
- Multiplicative depth requirement L

↓ Derivation

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- **Hardware optimization opportunities:**

- RNS arithmetic
- NTT-based polynomial multiplication

User requirements

A curved arrow originates from the 'User requirements' text and points towards the 'Implementation level parameters' section, indicating that these parameters are derived from user requirements.

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User requirements

Contribution: hardware optimization viewpoint

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Conclusion and perspectives

STREAM CIPHER BASED TRANSCIPHERING

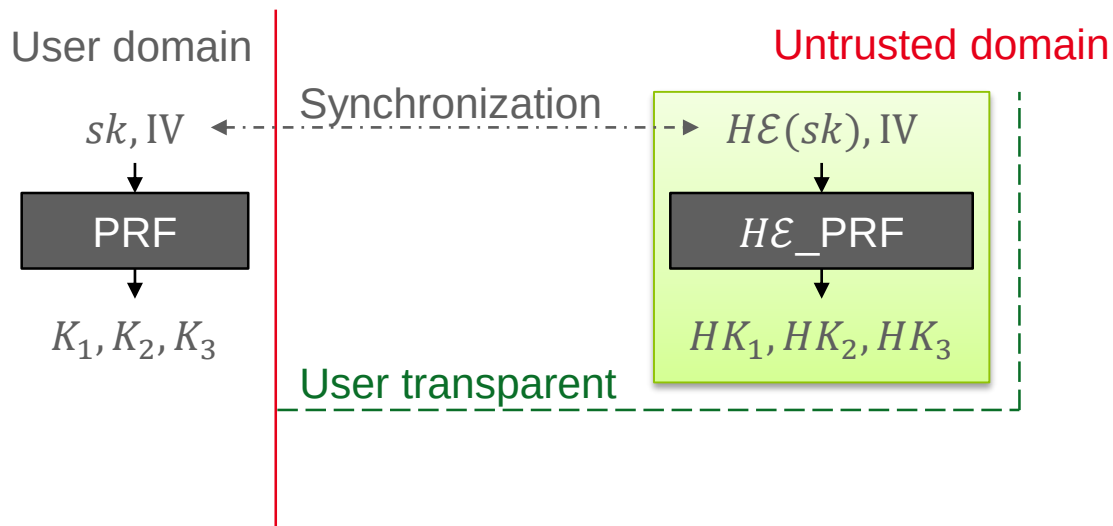
Transciphering: avoid upward communication overhead

User domain

Untrusted domain

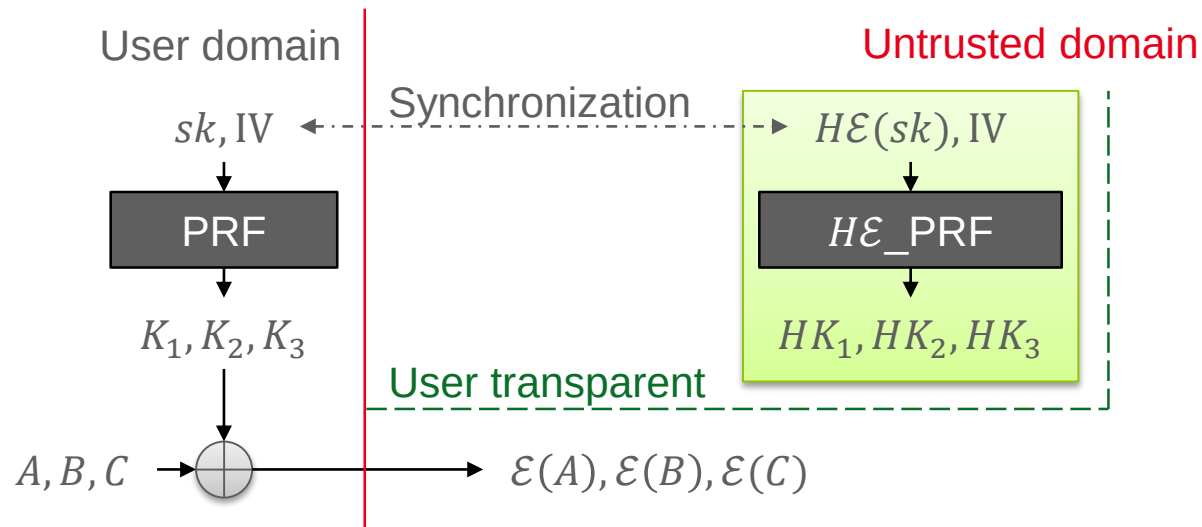
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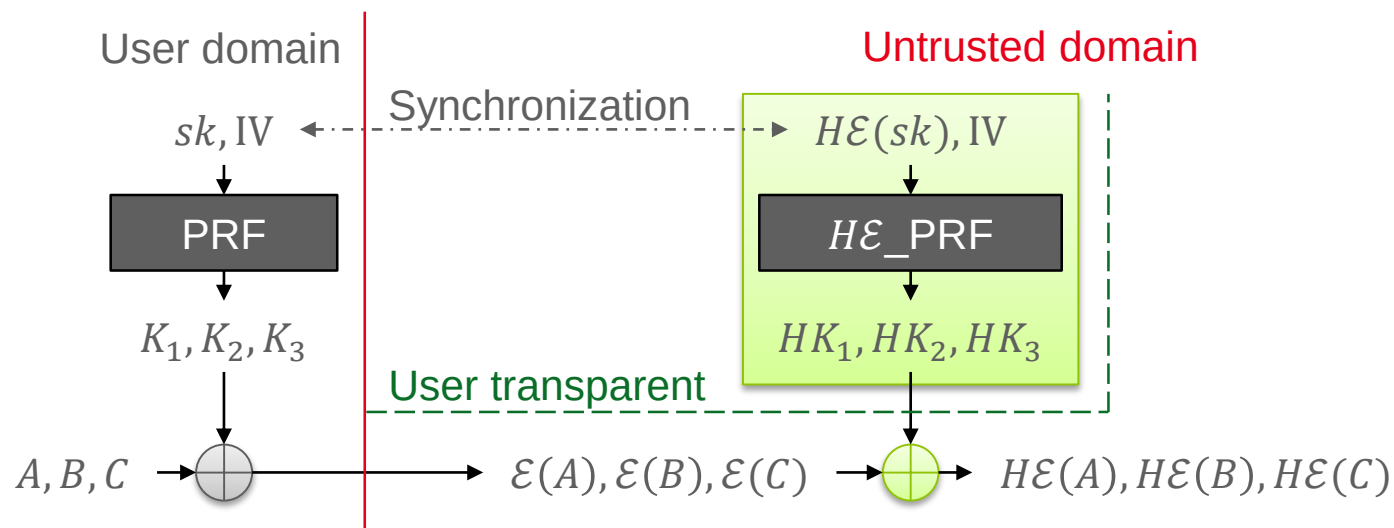
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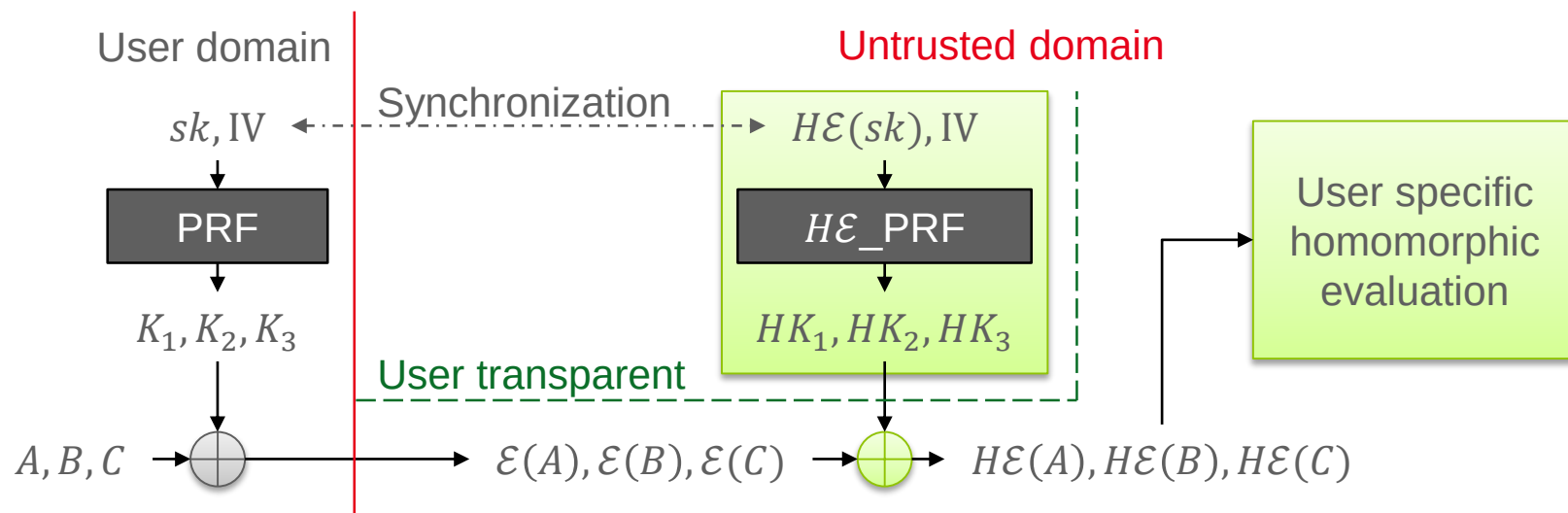
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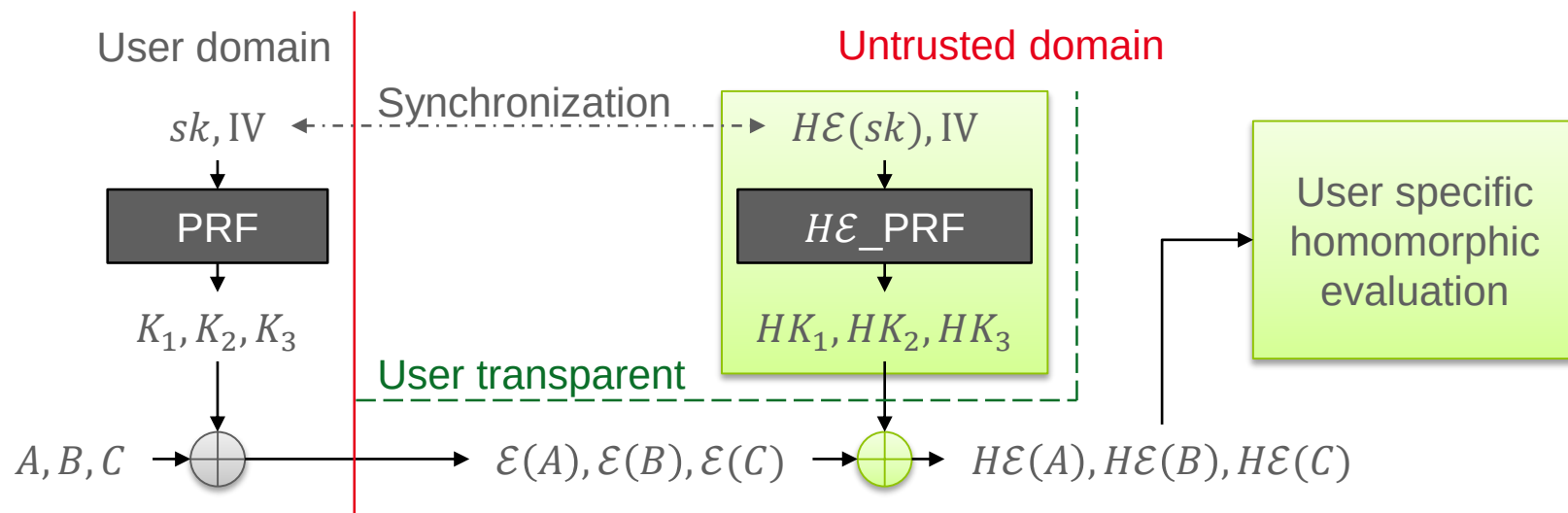
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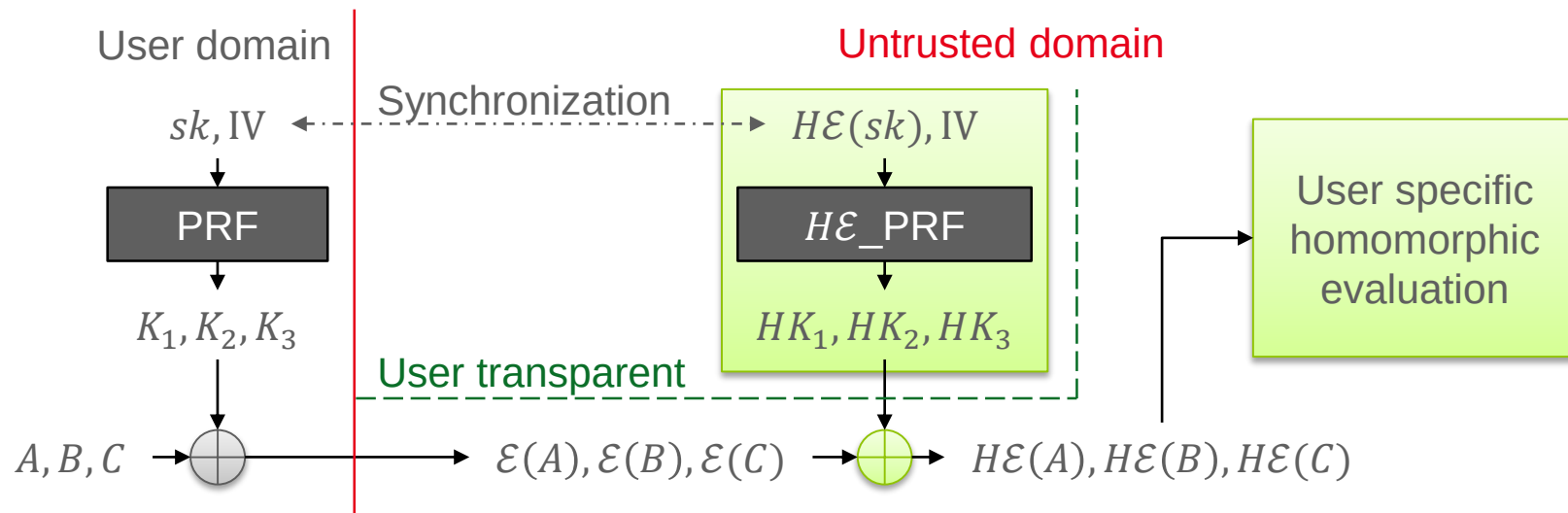
Improving homomorphic evaluation



Leverage homomorphic keystream generation

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Improving homomorphic evaluation



Leverage homomorphic keystream generation

Impact on parameters:

- Consistent security between HE and PRF
- Constant multiplicative depth penalty

STREAM CIPHER HOMOMORPHIC EVALUATION

- FV homomorphic evaluation of Trivium* (eSTREAM portfolio):

* ISO/IEC 29192-3:2012

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HE Primitives	Estimated cycles	Estimated %
HE Trivium	$13,33 \times 10^{12}$	100 %
CtxtMult	$13,27 \times 10^{12}$	99,5 %
- Relinearise	$8,38 \times 10^{12}$	62,8 %
- Multiply	$4,09 \times 10^{12}$	30,6 %
- Others	$0,81 \times 10^{12}$	6,1 %
CtxtAdd	$0,05 \times 10^{12}$	0,4 %
Others	$0,01 \times 10^{12}$	0,1 %

FV implementation from Canteaut et al.
based on FLINT and GMP

FV instance:

- Security $\lambda = 80$
- Multiplicative depth $L = 19$
- Polynomial degree $N = 4096$
- Ciphertext modulus size $T_q = 2658$ -bits

Algorithm	λ	FV		
		#ANDs	#XORs	keystream
Trivium-12	80	3237	15019	57

Outputs:

- 57 keystream elements per IV
- Useful multiplicative depth: 7

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- Underlying complexity:

- ~20%: Multi-precision arithmetic under the direct influence of T_q
- ~75%: Polynomial multiplication under the direct influence of N

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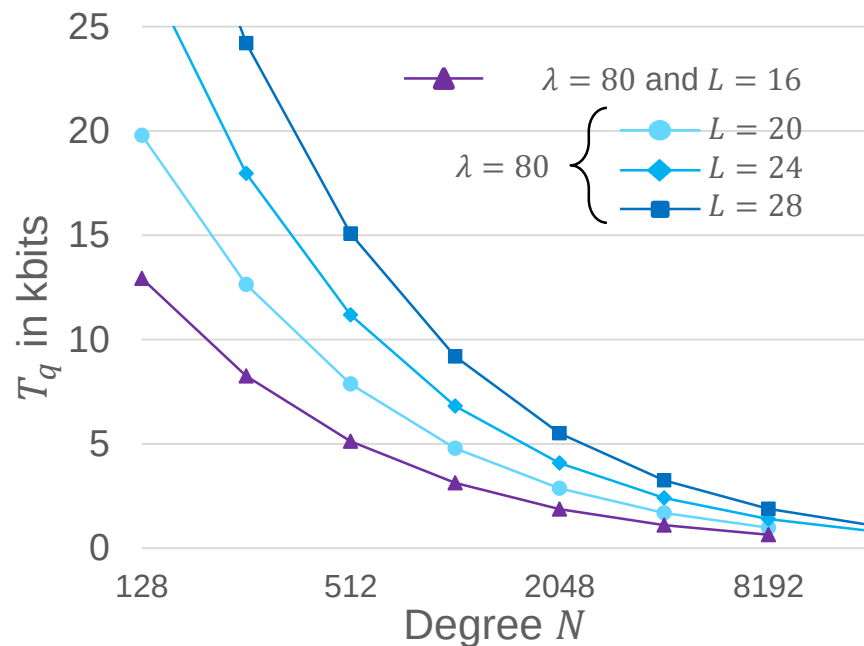
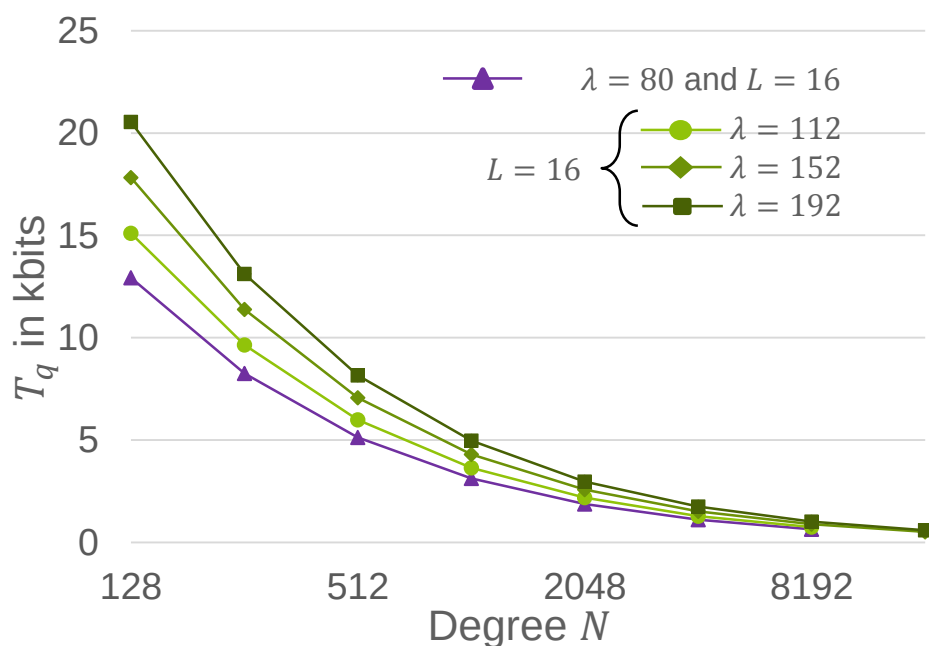
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HANDLING LARGE COEFFICIENT SIZES

CHINESE REMAINDER THEOREM – RNS ARITHMETIC

- **Ciphertexts are pairs of polynomials:**
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Residue representation accordingly to a basis of co-prime elements.
- **Characteristics:**
 - Introduce distributed parallelism
 - Single precision modular arithmetic
 - Non-positional system (dynamic range handling, complex divisions...)
 - Base extension operation depends on the basis sizes ($O(l^2)$)

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- **In practice:**
 - RNS basis element: particular primes for efficient modular reduction
 - RNS basis size: $l(T_{primes}) \propto T_q$
 - RNS basis dynamic range $> Max_value(q)$

RNS arithmetic complexity is under the direct influence of the T_q parameter

IMPROVING POLYNOMIAL MULTIPLICATIONS

NTT-BASED RESIDUE POLYNOMIAL MULTIPLICATIONS

- **Residue polynomial multiplication:**
 - Polynomial multiplication over the field $\mathbb{Z}_{p_i}$
 - Polynomial reduction modulo $\Phi(X)$ (degree $N = 2^k$) over the field $\mathbb{Z}_{p_i}$

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Number Theoretical Transform (Fourier transform over finite fields).
- **Characteristics:**
 - Cooley-Tuckey algorithm for NTT: $O(N^2) \rightarrow O(N \log N)$
 - No polynomial reduction (NWC with $\Phi(X) = X^N + 1$)
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 - Requires that $\Phi(X) = X^N + 1 \Rightarrow N = 2^k$
 - Select p_i function of N : $2N$ divides $(p_i - 1)$

NTT-based polynomial multiplication complexity is under the direct influence of the parameter N

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SIZE OF HANDLED CIPHERTEXTS

Performances and memory requirements are impacted by ciphertext sizes

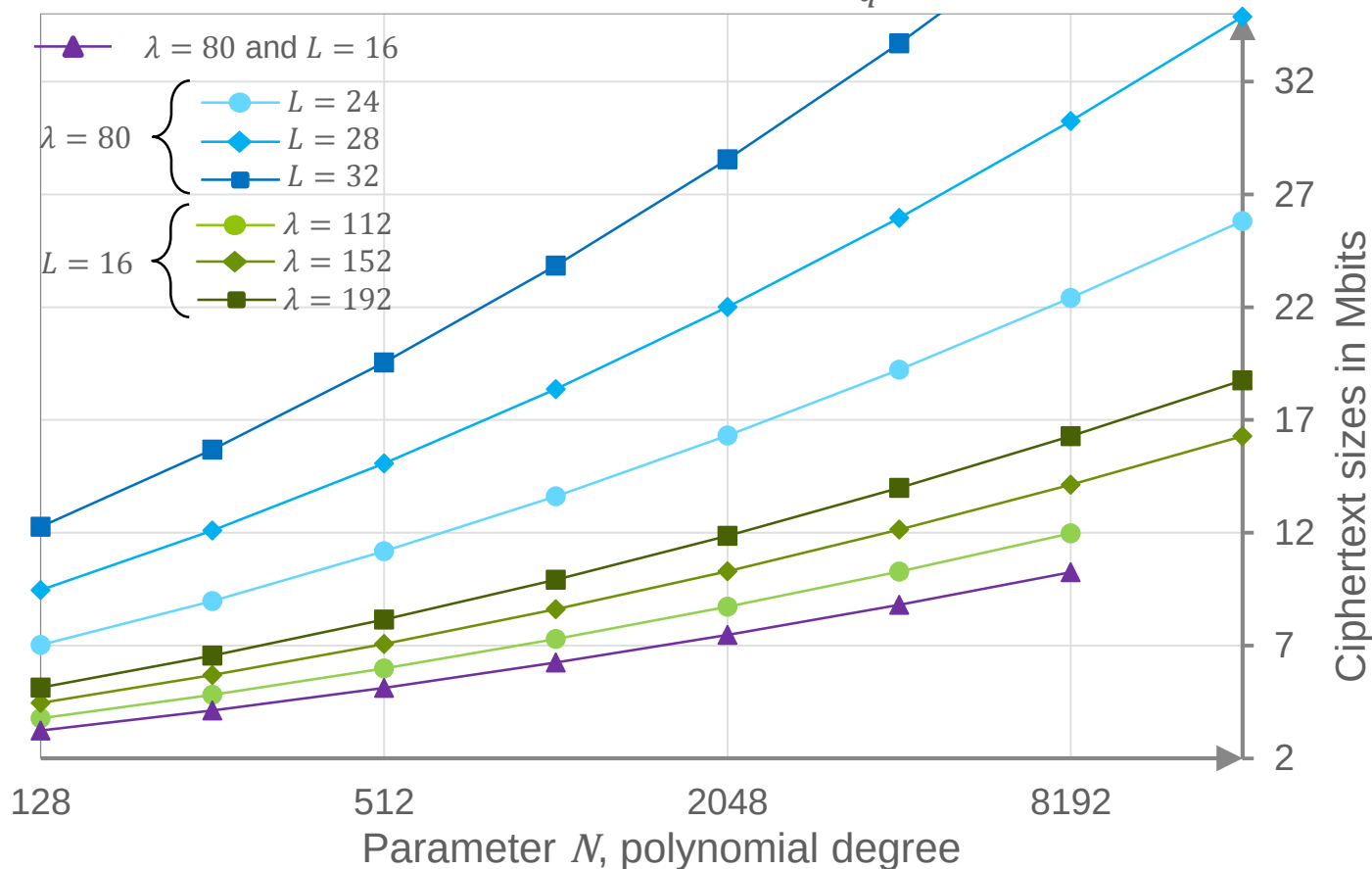
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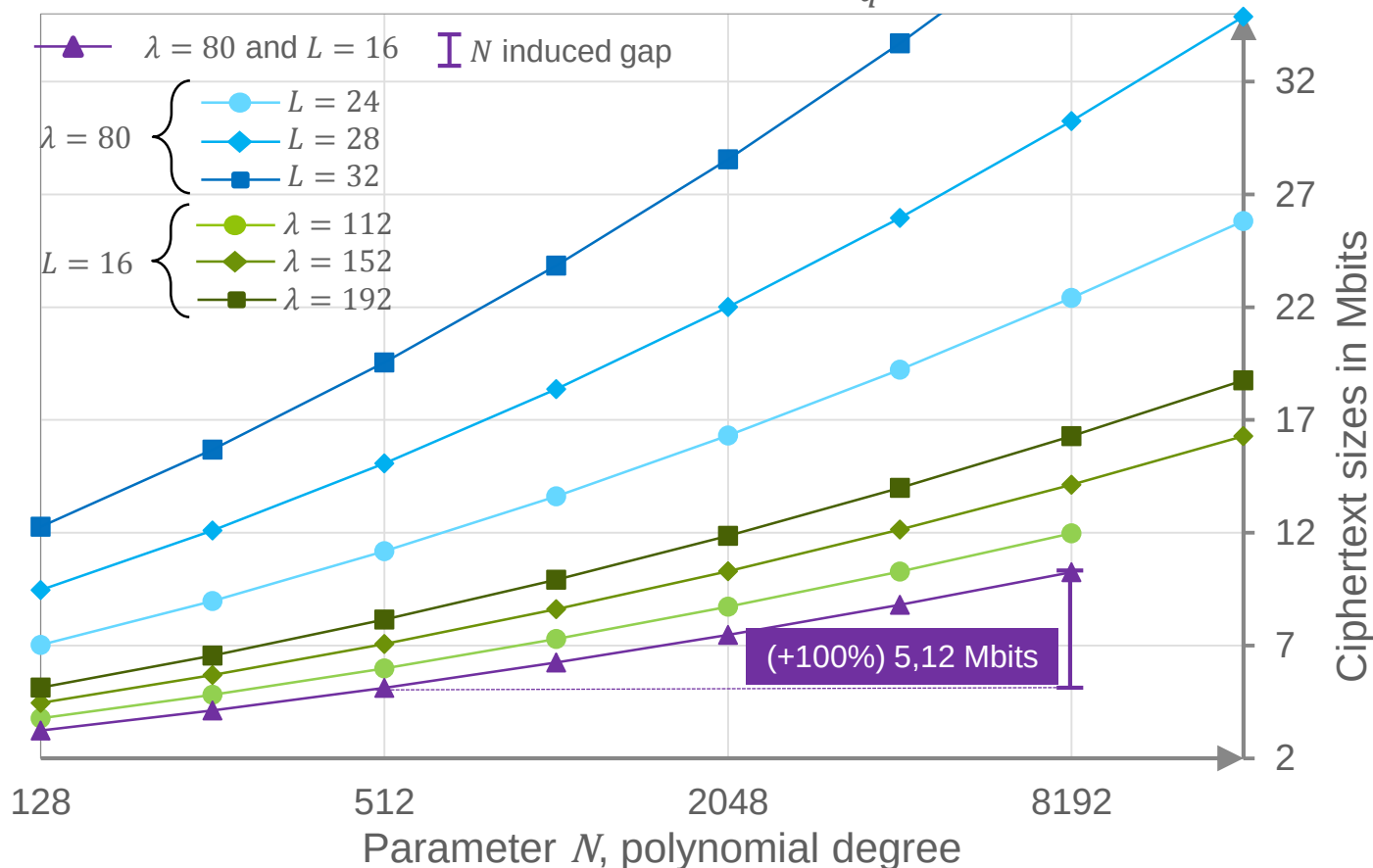


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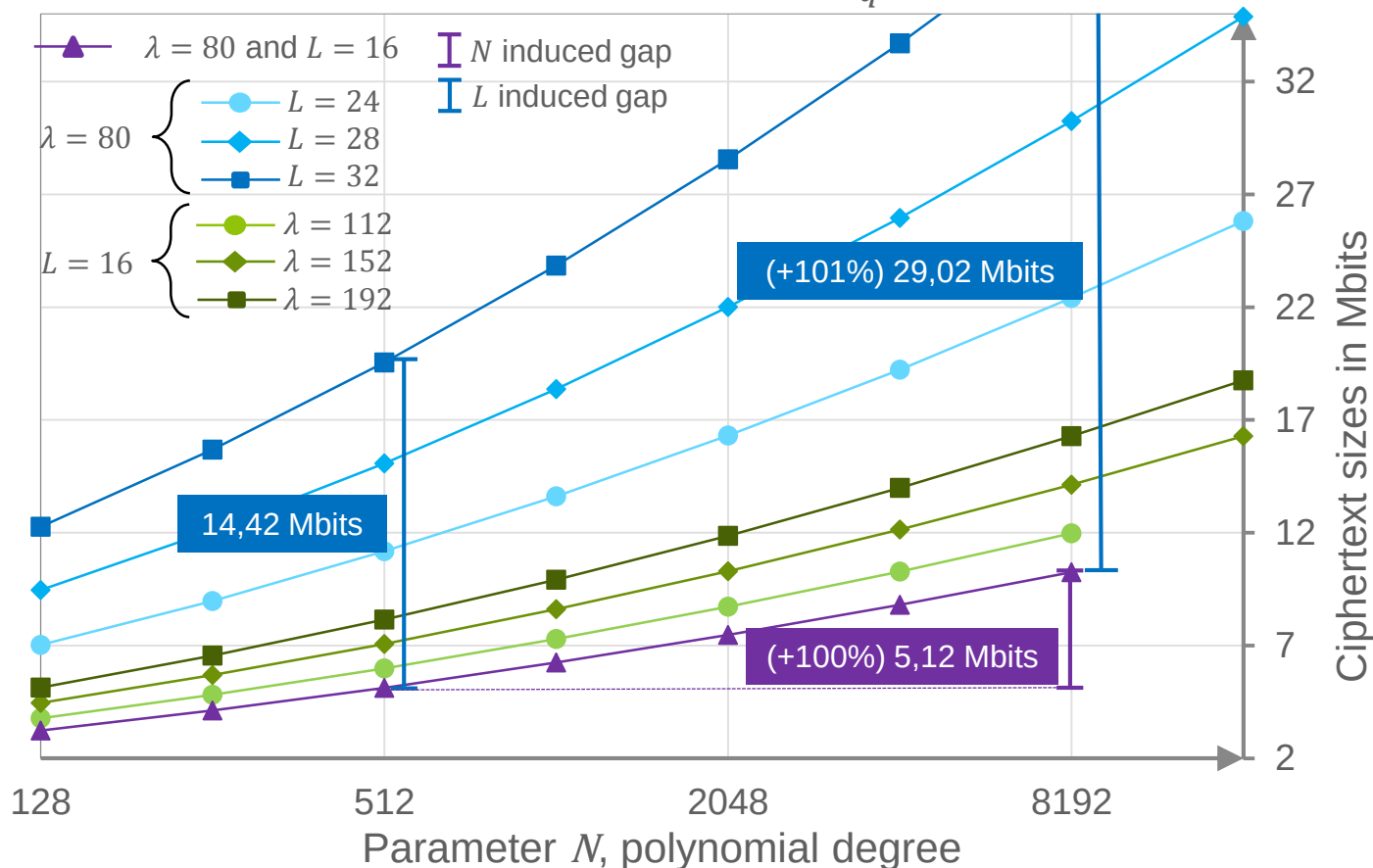


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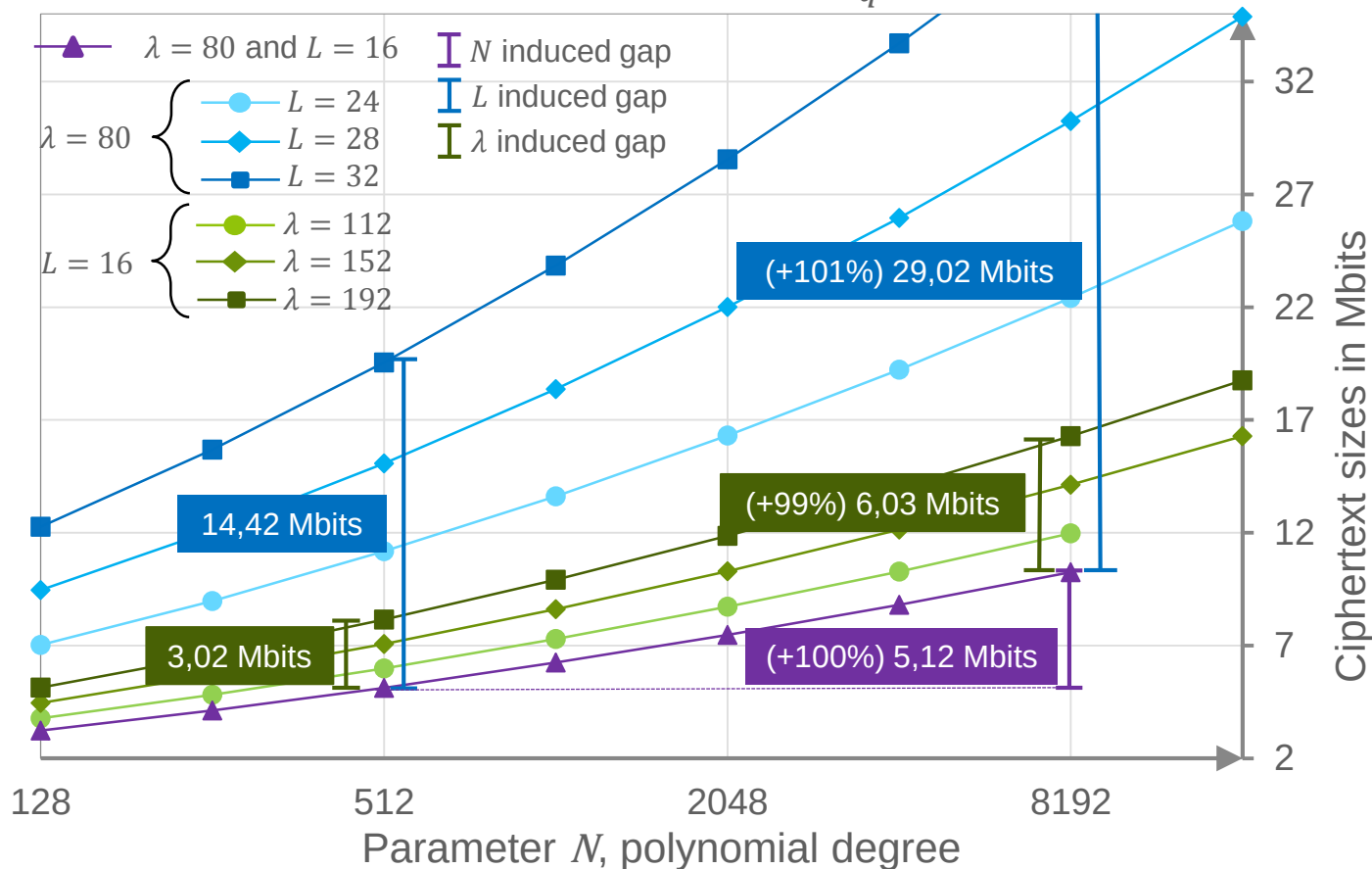


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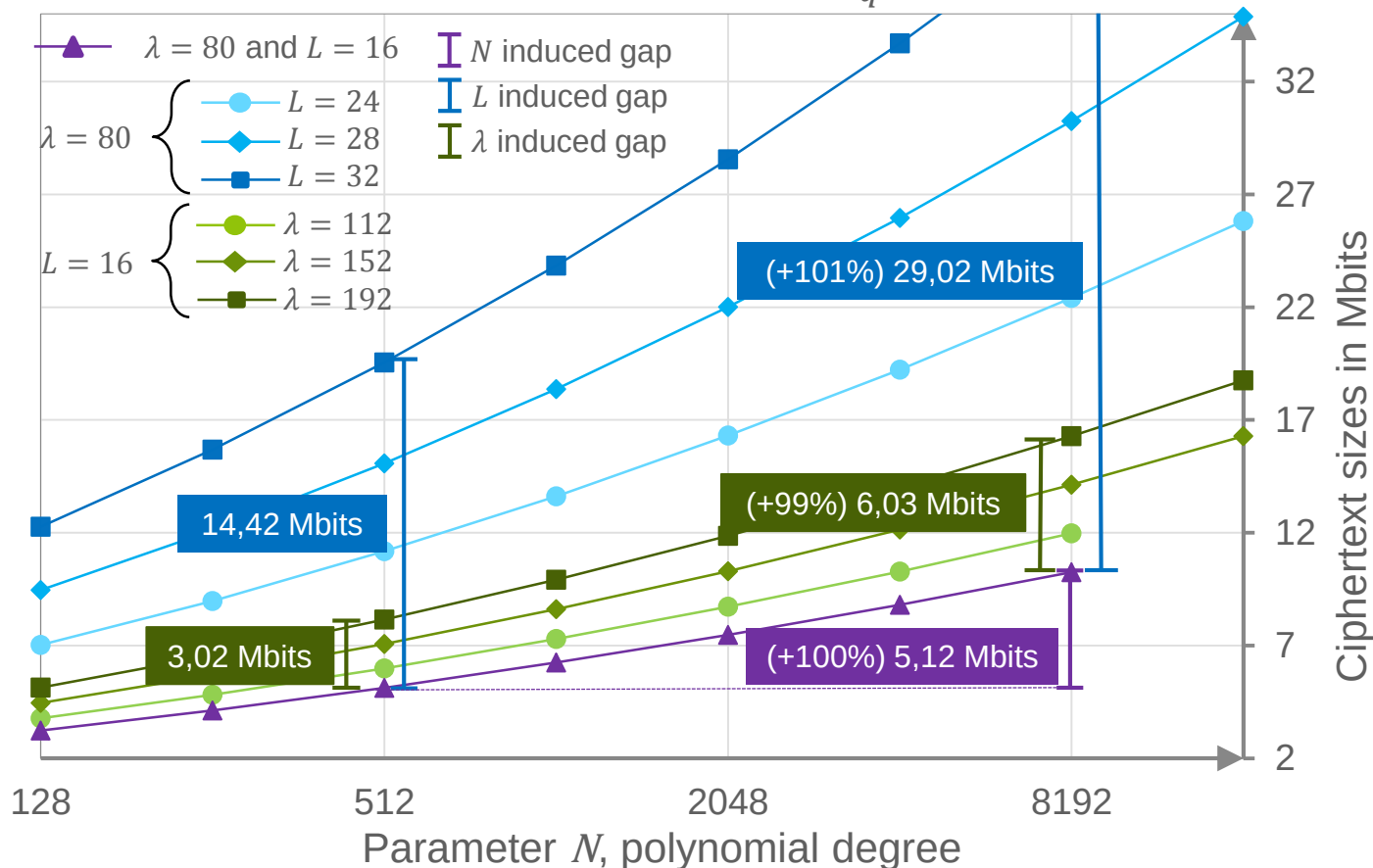


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Not all (N, T_q) are equivalent, smaller N reduce ciphertext sizes

TRADEOFF BETWEEN DEGREE AND COEFFICIENT SIZES

PRIVILEGED SMALL N RATHER THAN SMALL T_q

- **For implementation: small N is better than small T_q**
 - Ciphertexts are smaller
 - More scalable over practical ranges for L and λ
 - Less complex residue polynomial multiplication
 - More parallelism through RNS arithmetic

} according to FV'12 derivation rules
- **Limitations in the choice of small N :**
 - Security: lower bound in the lattice dimension?
 - RNS arithmetic:
 - Complexity of base extension $\Rightarrow$ scale and rounding / modular reduction
 - Availability of RNS base elements

TRADE-OFF BETWEEN DEGREE AND COEFFICIENT SIZES

NUMBER OF PRIMES OF A GIVEN SIZE T_{primes}

$$l_{max} = \left\lceil \frac{5T_q + \log_2 N}{T_{primes}} \right\rceil$$

Choice restrictions

- N -NTT existence over $\mathbb{Z}_{p_i}$
- Efficient reduction mod p_i

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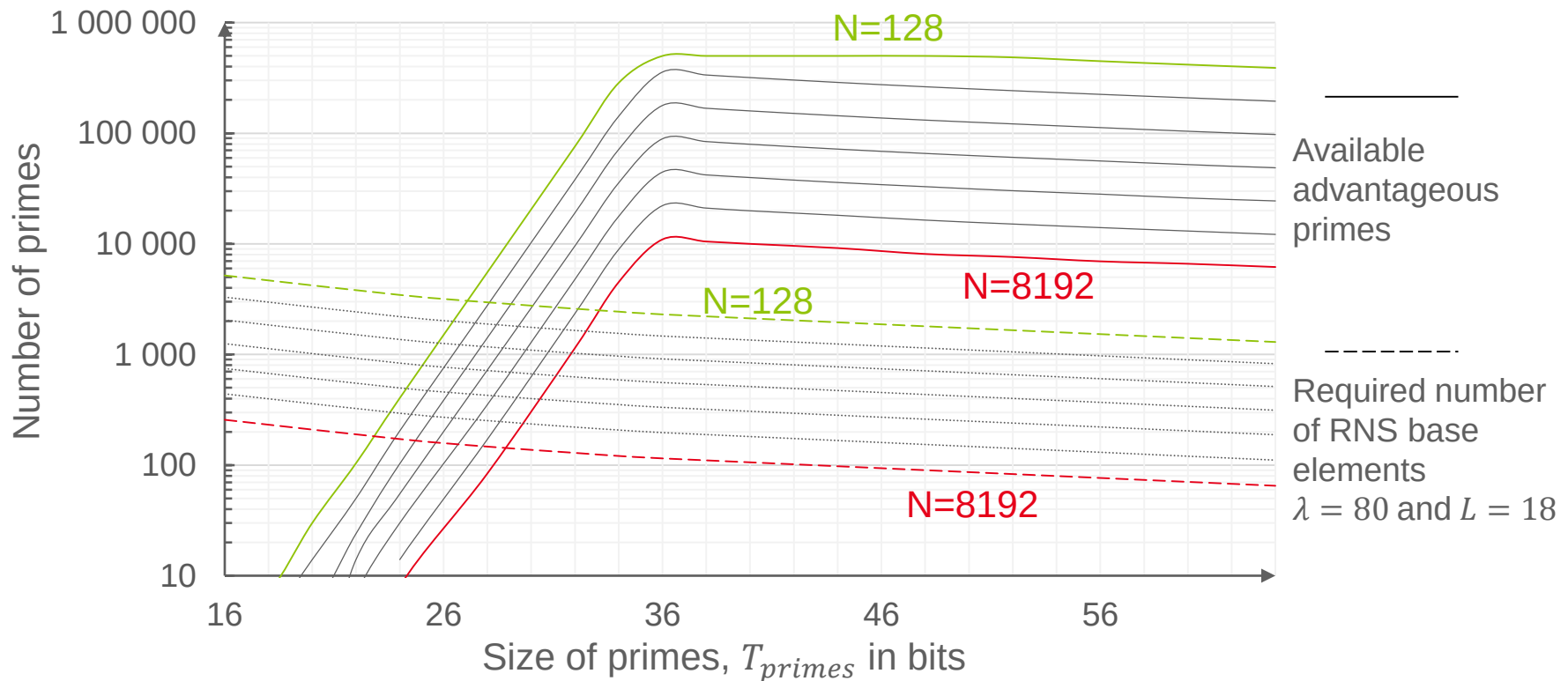
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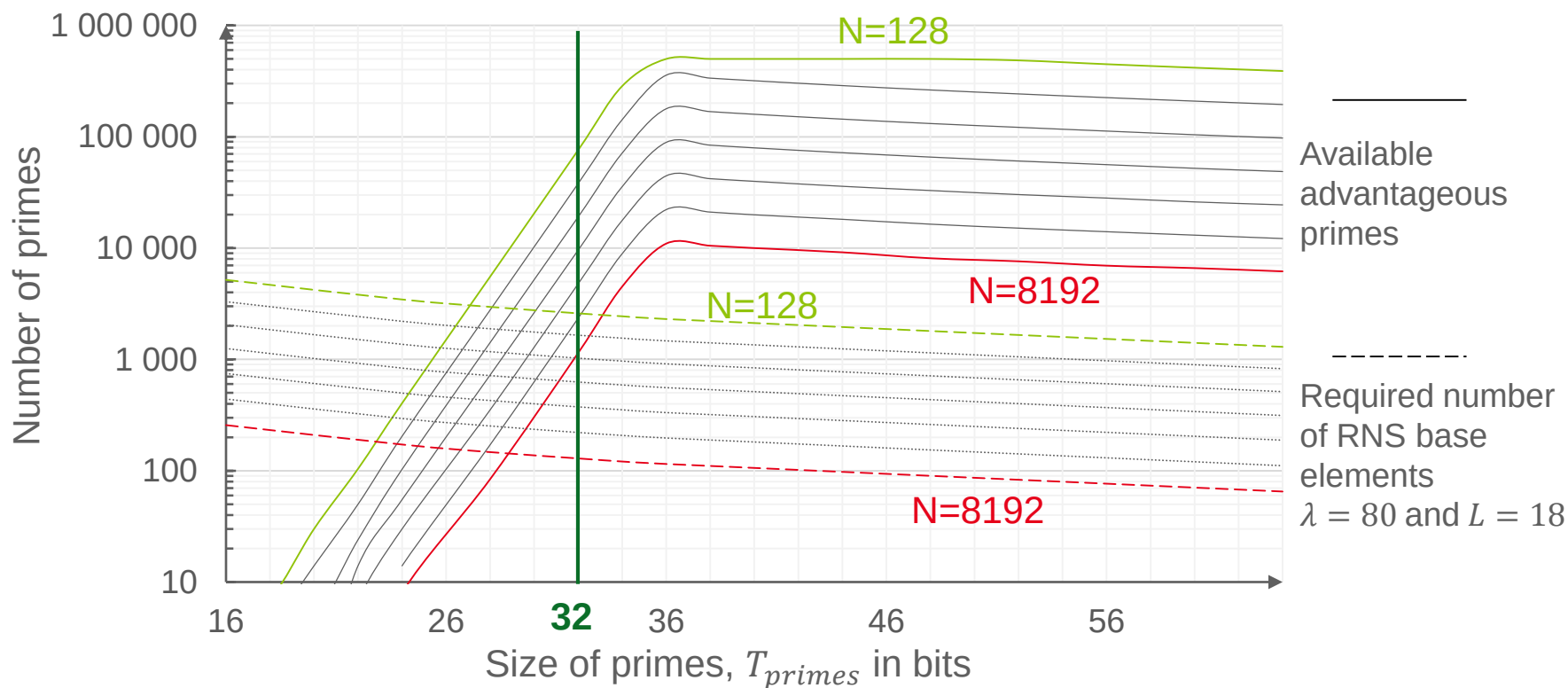
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Regarding practical ranges of (λ, L) , we will always have enough advantageous primes

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- **Choice of FV parameters is not trivial in practice:**
 - Application: security and multiplicative depth requirements
 - Implementation: complexity, parallelism and memory requirements
- **RNS arithmetic:**
 - Distributed parallelism
 - Complexity $\leftarrow$ Base sizes ($\propto T_q$)
- **NTT-based polynomial multiplication:**
 - Limited parallelism (NTT)
 - Costly implementation
 - Complexity $\leftarrow$ Degree (N)
- **Results bring by this work:**
 - Regarding FV'12 parameters derivation: small N is clearly advantageous
 - Availability of RNS base elements does not bound the choice of large q
- **Perspectives:**
 - Explore lower bound of N : security / RNS arithmetic complexity
 - Explore experimental hardware complexity regarding N and T_q
 - Exploit the results of this analysis for the design of an hardware accelerator

Thanks!

Do you have some
questions?

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References:

[1] J. Fan and F. Vercauteren, « Somewhat practical fully homomorphic encryption ». IACR Cryptology ePrint Archive, 2012.

[2] Canteaut et al., « Stream ciphers: A practical solution for efficient homomorphic-ciphertext compression ». *Fast Software Encryption*. Springer Nature, 2016.

[3] J-C. Bajard et al., « A Full RNS Variant of FV like Somewhat Homomorphic Encryption Schemes ». *Selected Areas in Cryptography – SAC*, 2016.

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[6] S. Carpov et al., « Armadillo: A compilation chain for privacy preserving applications ». *Proceedings of the 3rd International Workshop on Security in Cloud Computing*. Association for Computing Machinery (ACM) (2015)

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Wonderland !!!!

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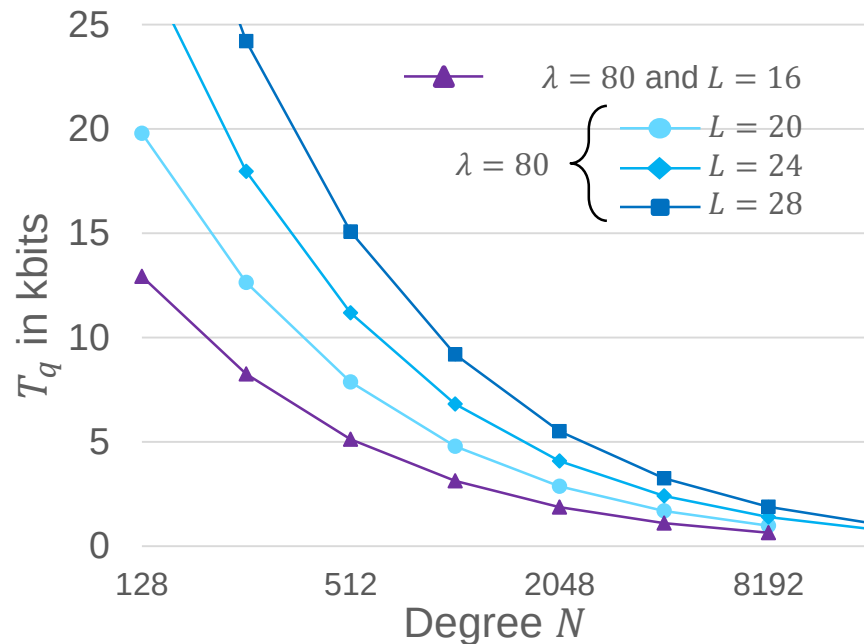
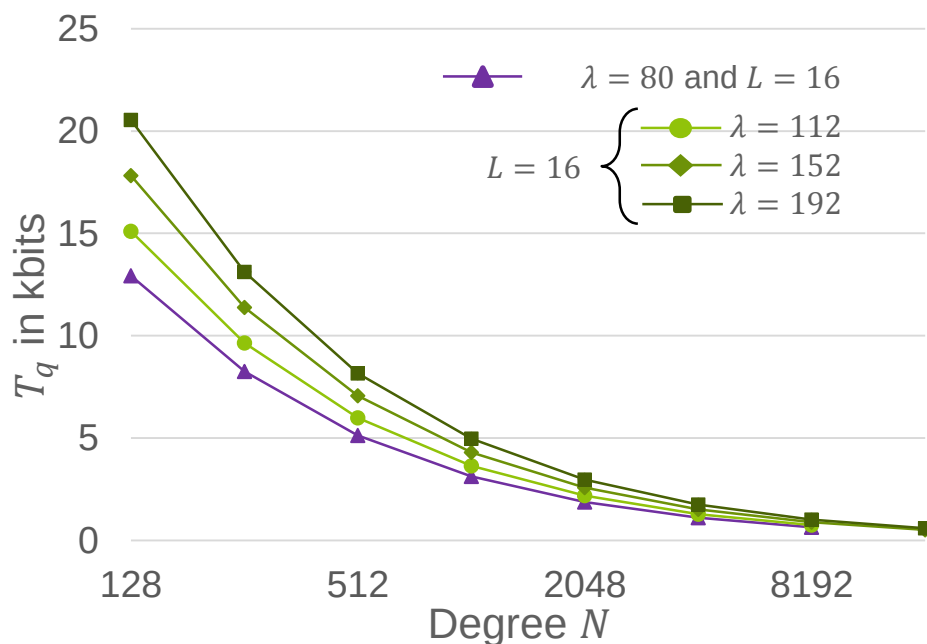
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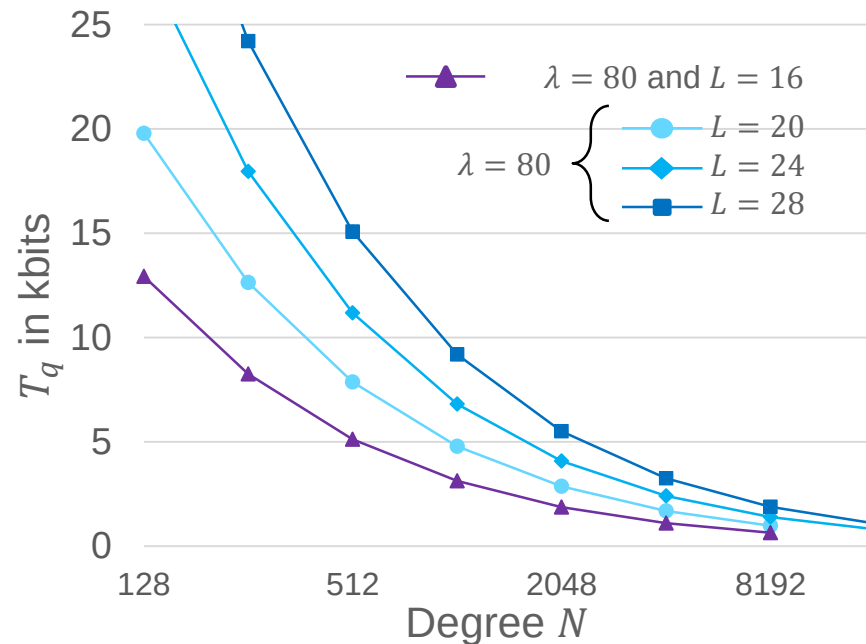
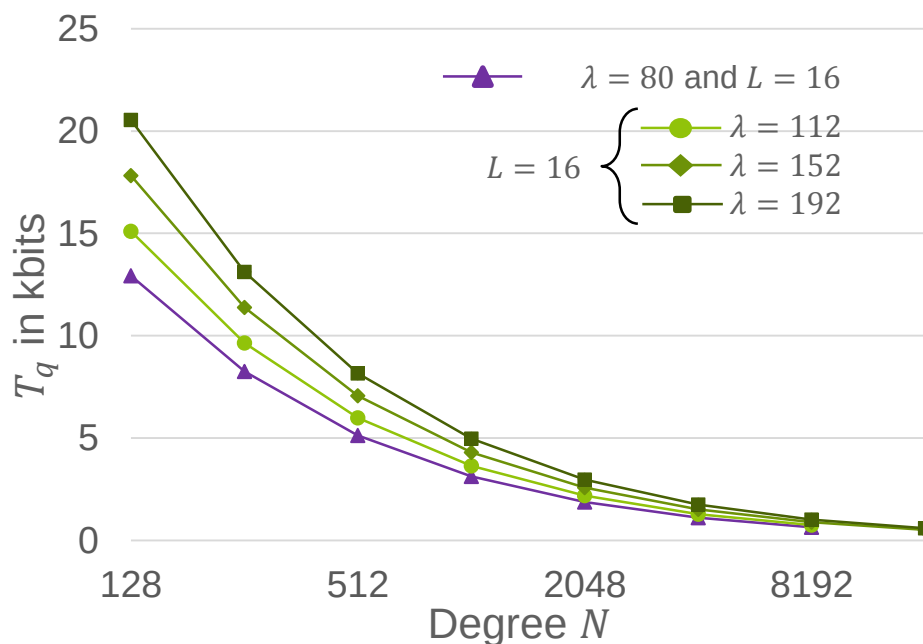
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