

Class Group Computations in Number Fields and Applications to Cryptography

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Number fields

$\mathbb{K}$ number field $\Rightarrow$ finite extension of $\mathbb{Q} \Rightarrow \exists T \in \mathbb{Z}[X]$ monic s.t.

$$\mathbb{K} \simeq \mathbb{Q}[X]/(T).$$

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Aim: Compute the structure of the class group.

Outline

- 1 Class Group Computations
 - General strategy for computation
 - Conditional Improvement
- 2 Application to Cryptography
 - The Principal Ideal Problem (PIP)
 - Our descent algorithm

Subexponential L -notation :

$$L_N(0, c) \approx (\log N)^c \quad L_N(1, c) \approx N^c$$

$$L_N(\alpha, c) = \exp \left((c + o(1)) (\log N)^\alpha (\log \log N)^{1-\alpha} \right).$$

1969 Shanks: quadratic number fields in $O(|\Delta_{\mathbb{K}}|^{\frac{1}{5}})$.

1989 Hafner and McCurley: imaginary quadratic number fields in $L_{|\Delta_{\mathbb{K}}|}(\frac{1}{2}, \sqrt{2})$.

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2014 Biasse and Fieker: all number fields in $L_{|\Delta_{\mathbb{K}}|}(\frac{2}{3} + \varepsilon)$ in general and $L_{|\Delta_{\mathbb{K}}|}(\frac{1}{2})$ if $n \leq \log(|\Delta_{\mathbb{K}}|)^{3/4-\varepsilon}$.

2014 Biasse and Fieker: number fields defined by a *good* polynomial in $L_{|\Delta_{\mathbb{K}}|}(a)$, $\frac{1}{3} \leq a < \frac{1}{2}$.

Index calculus

1 Factor base

Fix a factor base composed of small elements.

2 Relation collection

Collect some relations between those small elements, corresponding to linear equations.

3 Linear algebra

Deduce the sought result performing linear algebra on the system built.

The factor base

$$\mathcal{B} = \{\text{prime ideals in } \mathcal{O}_{\mathbb{K}} \text{ of norm below } B\}$$

B determined so that $\mathcal{B}$ generates the whole class group.

Minkowski's bound: every class contains an ideal of norm smaller than

$$M_{\mathbb{K}} = \sqrt{|\Delta_{\mathbb{K}}|} \left(\frac{4}{\pi}\right)^{r_2} \frac{n!}{n^n}.$$

Bach's bound: assuming GRH, classes of ideals of norm less than $12(\log |\Delta_{\mathbb{K}}|)^2$ generate the class group.

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Practically

$$B = L_{|\Delta_{\mathbb{K}}|}(\beta, c_b).$$

Relation collection

$$\mathcal{B} = (\mathfrak{p}_1, \dots, \mathfrak{p}_N)$$

Surjective morphism:

$$\begin{array}{ccccc} \mathbb{Z}^N & \xrightarrow{\phi} & \mathcal{I} & \xrightarrow{\pi} & \text{Cl}(\mathcal{O}_{\mathbb{K}}) \\ (e_1, \dots, e_N) & \mapsto & \prod_i \mathfrak{p}_i^{e_i} & \mapsto & \prod_i [\mathfrak{p}_i]^{e_i} \end{array}$$

$$\text{Cl}(\mathcal{O}_{\mathbb{K}}) \simeq \mathbb{Z}^N / \{(e_1, \dots, e_N) \in \mathbb{Z}^N \mid \prod_i \mathfrak{p}_i^{e_i} = (\alpha) \mathcal{O}_{\mathbb{K}}\}$$

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Idea:

- 1 Pick at random $A = \prod_i \mathfrak{p}_i^{v_i}$.
- 2 Find a *reduced* ideal A' in the same class.
- 3 If A' splits on $\mathcal{B}$ ($\Leftrightarrow A' = \prod_i \mathfrak{p}_i^{v'_i}$) then

$$A(A')^{-1} = \prod_i \mathfrak{p}_i^{v_i - v'_i} \text{ is principal.}$$

Linear algebra

- Relations stored in a matrix of size about $N \times N$.
- Structure of the class group given by the *Smith Normal Form* of the matrix.
- First compute *Hermite Normal Form* with a premultiplier because we need kernel vectors.
- Storjohann and Labahn algorithm, runtime in $N^{\omega+1}$
($2 \leq \omega \leq 3$ exponent of matrix multiplication)

Verification

We find a tentative class group H , but the class group $\text{Cl}(\mathcal{O}_{\mathbb{K}})$ may be only a quotient of H .

$\Rightarrow$ Need an approximation of the class number $h_K = |\text{Cl}(\mathcal{O}_{\mathbb{K}})|$.

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Class number formula + Euler Product:

$$h_{\mathbb{K}} \text{Reg}_{\mathbb{K}} = \text{EP} \cdot \frac{w_{\mathbb{K}} \cdot \sqrt{|\Delta_{\mathbb{K}}|}}{2^{r_1} \cdot (2\pi)^{r_2}}.$$

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From the relations, we can also deduce a candidate for an approximation of Reg_K and perform the verification step.

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What is a *good* polynomial ?

We want a polynomial that defines a fixed number field:

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Definition

Let $T = \sum a_k X^k \in \mathbb{Z}[X]$. The **height** of T is defined as the maximal norm of its coefficients, namely

$$H(T) = \max_k |a_k|.$$

Classes from Biasse and Fieker work

Definition

Let $n_0, d_0 > 0$ and $0 < \alpha < \frac{1}{2}$.

$$\mathcal{C}_{n_0, d_0, \alpha} = \left\{ \mathbb{K} = \mathbb{Q}[X]/(T) \mid \begin{array}{l} \deg(T) = n_0 (\log |\Delta_{\mathbb{K}}|)^{\alpha} (1 + o(1)) \\ \log H(T) = d_0 (\log |\Delta_{\mathbb{K}}|)^{1-\alpha} (1 + o(1)) \end{array} \right\}$$

Theorem

If we know such a good polynomial, there exists an algorithm with runtime $L_{|\Delta_{\mathbb{K}}|}(a)$ for class group computation with

$$a = \max \left(\alpha, \frac{1-\alpha}{2} \right).$$

Our results [GJ16]

Definition

Let $n_0, d_0 > 0$, $0 < \alpha < 1$ **and** $1 - \alpha \leq \gamma \leq 1$.

$$\mathcal{D}_{n_0, d_0, \alpha, \gamma} = \left\{ \mathbb{K} = \frac{\mathbb{Q}[X]}{(T)} \mid \begin{array}{l} \deg(T) \leq n_0 \left(\frac{\log |\Delta_{\mathbb{K}}|}{\log \log |\Delta_{\mathbb{K}}|} \right)^{\alpha} \\ \log H(T) \leq d_0 (\log |\Delta_{\mathbb{K}}|)^{\gamma} (\log \log |\Delta_{\mathbb{K}}|)^{1-\gamma} \end{array} \right\}$$

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If there exists a polynomial T such that $\mathbb{K} \in \mathcal{D}_{n_0, d_0, \alpha, \gamma}$, we find the minimal one in time $L_{|\Delta_{\mathbb{K}}|}(\alpha)$.

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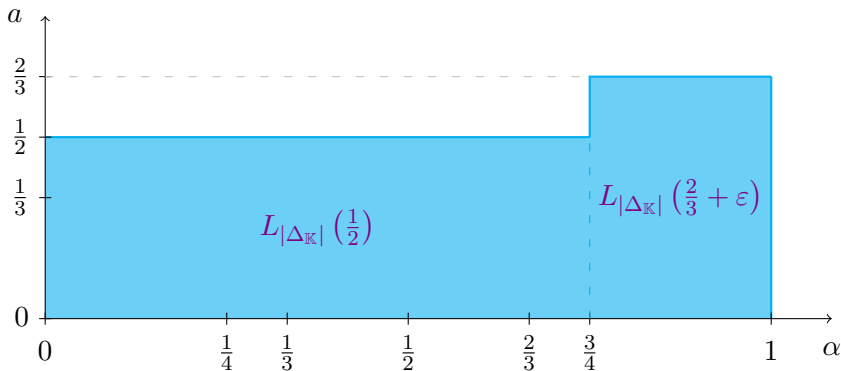
Theorem

Under GRH and smoothness heuristics, for every $\mathbb{K} \in \mathcal{D}_{n_0, d_0, \alpha, \gamma}$, $\alpha < \frac{1}{2}$, there exists an $L_{\Delta_{\mathbb{K}}}(a)$ algorithm for class group computation with

$$a = \max \left(\alpha, \frac{\gamma}{2} \right).$$

State of the art [BF14]

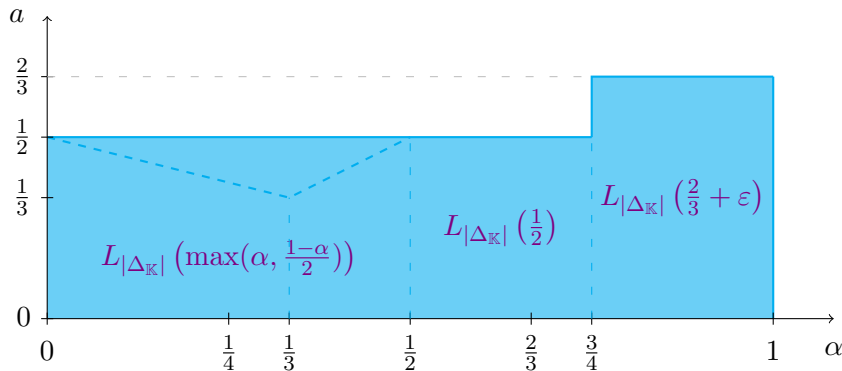
General case:



First general subexponential algorithm.

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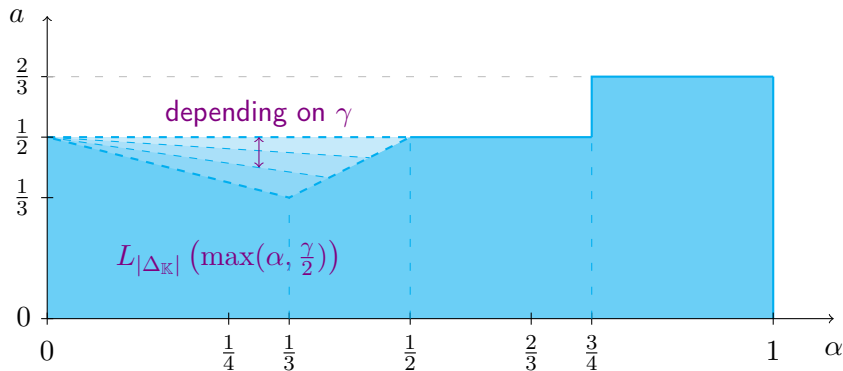
Special case:



Only if $\mathbb{K}$ is defined by T such that $H(T) = L_{|\Delta_{\mathbb{K}}|}(1 - \alpha)$.

Our work [GJ16]

General case:



Without any condition.

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Definition

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- Two distinct phases:
 - 1 Given the $\mathbb{Z}$ -basis of the ideal $\mathfrak{a} = \langle g \rangle$, find a — not necessarily short — generator $g' = g \cdot u$ for a unit u .
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Campbell, Groves, and Sheperd (2014) found a solution the second point for power-of-two cyclotomic fields. Cramer, Ducas, Peikert, and Regev (2016) provided a proof and an extension to prime-power cyclotomic fields.

FHE scheme – Smart and Vercauteren PKC 2010

Key Generation:

- 1 Fix the security parameter $N = 2^n$.
- 2 Let $F(X) = X^N + 1$ be the polynomial defining the cyclotomic field $\mathbb{K} = \mathbb{Q}(\zeta_{2N})$.
- 3 Set $G(X) = 1 + 2 \cdot S(X)$,
for $S(X)$ of degree $N - 1$ with coefficients in $[-2^{\sqrt{N}}, 2^{\sqrt{N}}]$,
such that the norm $\mathcal{N}(\langle G(\zeta_{2N}) \rangle)$ is prime.
- 4 Set $\mathbf{g} = G(\zeta_{2N}) \in \mathcal{O}_{\mathbb{K}}$.
- 5 Return the **secret key** $\text{sk} = \mathbf{g}$ and the **public key** $\text{pk} = \text{HNF}(\langle \mathbf{g} \rangle)$.

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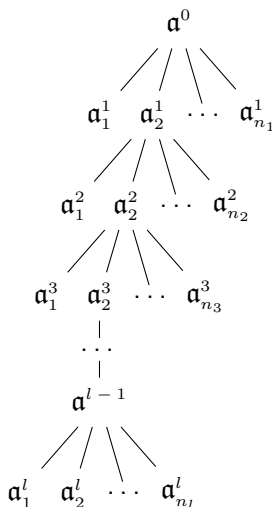
Our goal: Recover the secret key from the public key.

Outline of the algorithm [BEFGK17]

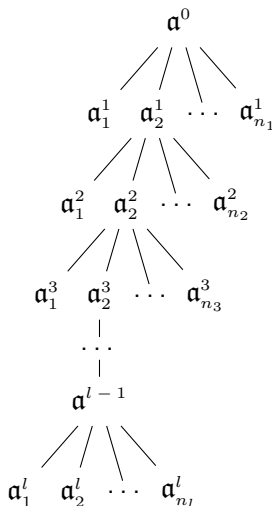
- 1 Perform a reduction from the cyclotomic field to its totally real subfield, allowing to work in smaller dimension.
- 2 Then a descent makes the size of involved ideals decrease.
- 3 Collect relations and run linear algebra to construct small ideals and a generator.
- 4 Eventually run the derivation of the small generator from a bigger one.

The descent strategy

Input ideal – Norm arbitrary large



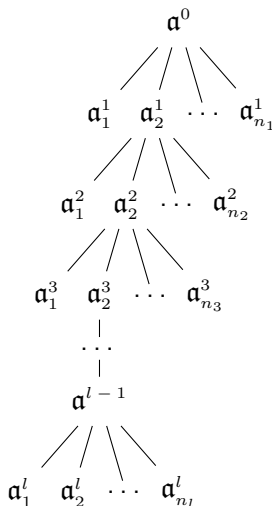
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Initial reduction – Norm: $L_{|\Delta_{\mathbb{K}}|} \left(\frac{3}{2} \right)$

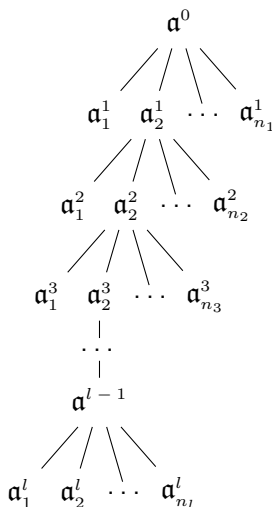
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Input ideal – Norm arbitrary large

Initial reduction – $L_{|\Delta_{\mathbb{K}}|}(1)$ -smooth

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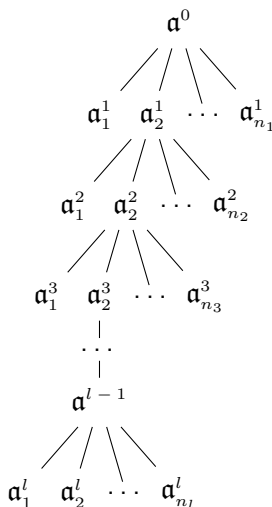


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First step – Norm: $L_{|\Delta_{\mathbb{K}}|}(\frac{5}{4})$

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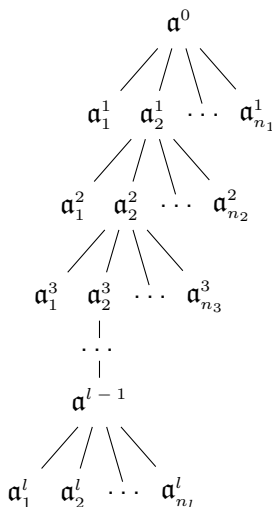


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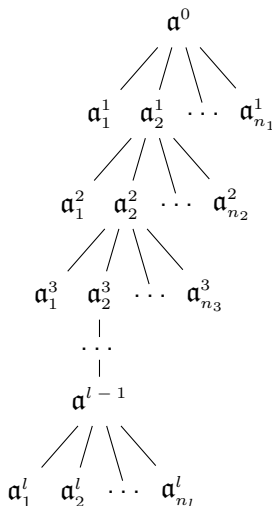
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Second step – Norm: $L_{|\Delta_{\mathbb{K}}|}(\frac{9}{8})$

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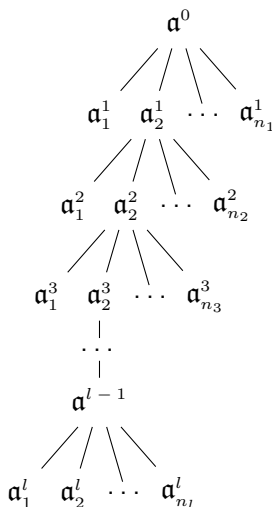
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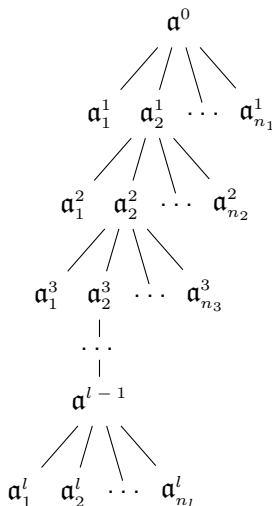
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Last but one step – Norm: $\approx L_{|\Delta_{\mathbb{K}}|}(1)$

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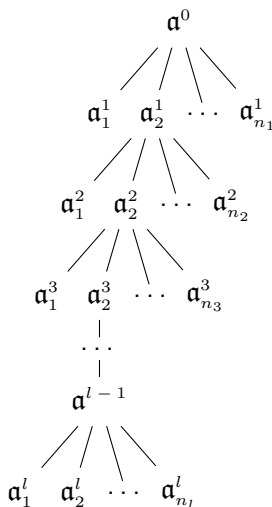
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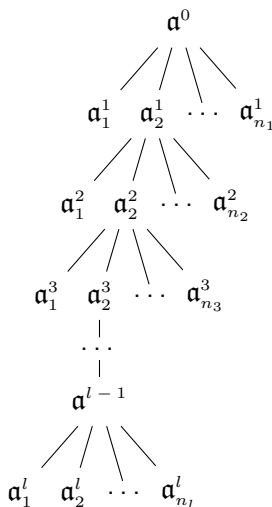
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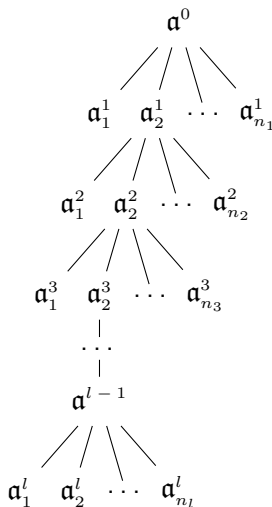
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Solution for $L_{|\Delta_{\mathbb{K}}|}(\frac{1}{2})$ -smooth ideals

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- A N -dimensional vector Y including all the valuations of the smooth ideals in the $\mathfrak{p}_i$
- A solution X of $MX = Y$ provides a generator of the product of the $L_{|\Delta_{\mathbb{K}}|}(\frac{1}{2})$ -smooth ideals

Thanks

Merci